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- (71) Applicant: **BOARD OF REGENTS THE UNIVERSITY OF TEXAS SYSTEM** [US/US]; 201 West 7th Street, Austin, TX 78701 (US).
- (71) Applicants and  
(72) Inventors: **BOLDOGH, Istvan** [HU/US]; 302 Holiday Drive, #17, Galveston, TX 77550-5656 (US). **STANTON, John, G.** [US/US]; 3026 112th Street N., Texas City, TX 77591-9136 (US). **GEORGIADES, Jerzy, A.** [US/US]; 9615 Bayou Brook, Houston, TX 77063 (US). **HUGHES, Thomas, K., Jr.** [US/US]; Route 1, Box 225 B-1, Galveston, TX 77554 (US). **KRUZEL, Marian** [US/US]; 13627 La Concha Lane, Houston, TX 77083 (US).
- (74) Agent: **MUETING, Ann, M.**; Mueeting, Raasch & Gebhardt, P.A., P.O. Box 581415, Minneapolis, MN 55458-1415 (US).
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**WO 2004/037851 A2**

(54) Title: USE OF COLOSTRININ, CONSTITUENT PEPTIDES THEREOF, AND ANALOGS THEREOF AS MODULATORS OF INTRACELLULAR SIGNALING MOLECULES AND INHIBITORS OF APOPTOSIS

(57) Abstract: The present invention provides methods that utilize compositions containing colostrinin, a constituent peptide thereof, an active analog thereof, and combination thereof, as modulators of intracellular signaling molecules and inhibitors of apoptosis, for example.

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USE OF COLOSTRININ, CONSTITUENT PEPTIDES THEREOF,  
AND ANALOGS THEREOF AS MODULATORS OF INTRACELLULAR  
SIGNALING MOLECULES AND INHIBITORS OF APOPTOSIS

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This application claims priority to U.S. Provisional Application Serial No. 60/420,369, filed October 22, 2002, the entire content of which is incorporated herein by reference.

15

BACKGROUND OF THE INVENTION

Colostrum is a component of the milk of mammals during the first few days after birth. Colostrum is a thick yellowish fluid and is the first lacteal secretion post parturition and contains a high concentration of immunoglobulins (IgG, IgM, and IgA) and a variety of non-specific proteins. Colostrum also contains various cells such as granular and stromal cells, neutrophils, monocyte/macrophages, and lymphocytes. Colostrum also includes growth factors, hormones, and cytokines. Unlike mature breast milk, colostrum contains low sugar, low iron, but is rich in lipids, proteins, mineral salts, vitamins, and immunoglobins.

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Colostrum also includes or contains a proline-rich polypeptide aggregate or complex, which is referred to as colostrinin (CLN). One peptide fragment of colostrinin is Val-Glu-Ser-Tyr-Val-Pro-Leu-Phe-Pro (SEQ ID NO:31), which is disclosed in International Publication No. WO-A-98/14473. Colostrinin and this fragment have been identified as useful in the treatment of disorders of the central nervous system, neurological disorders, mental disorders, dementia, neurodegenerative diseases, Alzheimer's disease, motor neurone disease, psychosis, neurosis, chronic disorders of the immune system, diseases with a

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bacterial and viral aetiology, and acquired immunological deficiencies, as set forth in International Publication No. WO-A-98/14473.

Although certain uses for colostrinin have been identified, it would represent an advancement in the art to discover and disclose other uses for  
5 colostrinin, or a component thereof, that are not readily ascertainable from the information currently known about colostrinin or its constituents.

### SUMMARY OF THE INVENTION

The present invention relates to the use of colostrinin, at least one  
10 constituent (i.e., component) peptide thereof, at least one active analog thereof (e.g., peptide having an N-terminal sequence equivalent to an N-terminal sequence of at least one of the colostrinin constituent peptides), and combinations thereof, as modulators of intracellular signaling mechanisms. The signaling molecules discovered to date that are modulated include 4HNE adduct  
15 formation, GSH, P53, and JNK.

Furthermore, the present invention relates to the use of colostrinin, at least one constituent (i.e., component) peptide thereof, at least one active analog thereof (e.g., peptide having an N-terminal sequence equivalent to an N-terminal sequence of at least one of the colostrinin constituent peptides), and  
20 combinations thereof, in the inhibition of apoptosis. Specifically, the apoptotic (cytotoxic) effect of B amyloid on SH-SY5Y neuronal cells and TNF-alpha.

In one embodiment, the present invention provides a method of modulating an intracellular signaling molecule in a cell. The method includes contacting the cell with a modulator selected from the group of colostrinin, a  
25 constituent peptide thereof, an active analog thereof, and combinations thereof, under conditions effective to accomplish at least one of the following: reduce 4HNE-protein adduct formation; inhibit 4HNE-mediated glutathione depletion; inhibit 4HNE-induced activation of p53 protein; or inhibit 4HNE-induced activation of c-Jun NH2-terminal kinases.

30 In one embodiment, the present invention provides a method of down regulating 4HNE-mediated lipid peroxidation in a cell. The method includes contacting the cell with a modulator selected from the group of colostrinin, a constituent peptide thereof, an active analog thereof, and combinations thereof,

wherein: the active analog is an active analog of a constituent peptide of colostrinin selected from the group of SEQ ID NO:1 through SEQ ID NO:34; the active analog comprises a peptide having an amino acid sequence with at least about 15 percent proline and having at least about 70 percent structural similarity to one or more constituent peptides of colostrinin; and the active analog does not interfere with cellular uptake of redox-sensitive 2',7'-dihydro-dichlorofluorescein-diacetate.

In one embodiment, the present invention provides a method for inhibiting apoptosis in a cell (typically, due to DNA damage). The method includes contacting the cell with an effective amount of an apoptosis inhibitor selected from the group of colostrinin, a constituent peptide thereof, an active analog thereof, and combinations thereof.

In another embodiment of inhibiting apoptosis in a cell, a method is provided that includes contacting the cell with an effective amount of an apoptosis inhibitor selected from the group of colostrinin, a constituent peptide thereof, an active analog thereof, and combinations thereof, wherein: the active analog is an active analog of a constituent peptide of colostrinin selected from the group of SEQ ID NO:1 through SEQ ID NO:34; the active analog comprises a peptide having an amino acid sequence with at least about 15 percent proline and having at least about 70 percent structural similarity to one or more constituent peptides of colostrinin; and the active analog does not interfere with cellular uptake of redox-sensitive 2',7'-dihydro-dichlorofluorescein-diacetate.

Other methods of the present invention include protecting against DNA damage in a cell, and reducing the toxic effect of  $\beta$ -amyloid or retinoic acid on a cell. These methods involve contacting the cell with an effective amount of a compound selected from the group of colostrinin, a constituent peptide thereof, an active analog thereof, and combinations thereof.

The cell can be present in a cell culture, a tissue, an organ, or an organism. For certain embodiments, the cell is a mammalian cell. For certain embodiments, the cell is a human cell.

For certain embodiments, the compound (e.g. modulator such as an apoptosis inhibitor) is a constituent peptide of colostrinin. Preferably, the modulator is selected from the group of MQPPPLP (SEQ ID NO:1),

LQTPQPLLQVMMEPQGD (SEQ ID NO:2), DQPPDVEKPDLPFQVQS  
 (SEQ ID NO:3), LFFFLPVVNVLP (SEQ ID NO:4), DLEMPVLPVEFPFV  
 (SEQ ID NO:5), MPQNFYKLPQM (SEQ ID NO:6), VLEMKFPPPPQETVT  
 (SEQ ID NO:7), LKPFPKLKVEVFPFP (SEQ ID NO:8), VVMEV (SEQ ID  
 5 NO:9), SEQP (SEQ ID NO:10), DKE (SEQ ID NO:11), FPPPK (SEQ ID  
 NO:12), DSQPPV (SEQ ID NO:13), DPPPQS (SEQ ID NO:14), SEEMP  
 (SEQ ID NO:15), KYKLQPE (SEQ ID NO:16), VLPPNVG (SEQ ID NO:17),  
 VYPFTGPIPN (SEQ ID NO:18), SLPQNILPL (SEQ ID NO:19),  
 TQTPVVVPPF (SEQ ID NO:20), LQPEIMGVPKVKETMVPK (SEQ ID  
 10 NO:21), HKEMPFKYPVEPFTESQ (SEQ ID NO:22),  
 SLTLTDVEKLHLPLPLVQ (SEQ ID NO:23), SWMHQPP (SEQ ID NO:24),  
 QPLPPTVMFP (SEQ ID NO:25), PQSVLS (SEQ ID NO:26),  
 LSQPKVLPVPQKAVPQRDMPIQ (SEQ ID NO:27), AFLLYQE (SEQ ID  
 NO:28), RGPFPILV (SEQ ID NO:29), ATFNRYQDDHGEEILKSL (SEQ ID  
 15 NO:30), VESYVPLFP (SEQ ID NO:31), FLLYQEPVLGPVR (SEQ ID  
 NO:32), LNF (SEQ ID NO:33), and MHQPPQPLPPTVMFP (SEQ ID NO:34),  
 and combinations thereof.

As used herein, "a" or "an" means one or more (or at least one), such  
 that combinations of active agents (i.e., active oxidative stress regulators), for  
 20 example, can be used in the compositions and methods of the invention. Thus,  
 a composition that includes "a" polypeptide refers to a composition that  
 includes one or more polypeptides.

"Amino acid" is used herein to refer to a chemical compound with the  
 general formula:  $\text{NH}_2\text{---CRH---COOH}$ , where R, the side chain, is H or an  
 25 organic group. Where R is organic, R can vary and is either polar or nonpolar  
 (i.e., hydrophobic). The amino acids of this invention can be naturally  
 occurring or synthetic (often referred to as nonproteinogenic). As used herein,  
 an organic group is a hydrocarbon group that is classified as an aliphatic group,  
 a cyclic group or combination of aliphatic and cyclic groups. The term  
 30 "aliphatic group" means a saturated or unsaturated linear or branched  
 hydrocarbon group. This term is used to encompass alkyl, alkenyl, and alkynyl  
 groups, for example. The term "cyclic group" means a closed ring hydrocarbon  
 group that is classified as an alicyclic group, aromatic group, or heterocyclic

group. The term "alicyclic group" means a cyclic hydrocarbon group having properties resembling those of aliphatic groups. The term "aromatic group" refers to mono- or polycyclic aromatic hydrocarbon groups. As used herein, an organic group can be substituted or unsubstituted.

5           The terms "polypeptide" and "peptide" are used interchangeably herein to refer to a polymer of amino acids. These terms do not connote a specific length of a polymer of amino acids. Thus, for example, the terms oligopeptide, protein, and enzyme are included within the definition of polypeptide or peptide, whether produced using recombinant techniques, chemical or  
10 enzymatic synthesis, or naturally occurring. This term also includes polypeptides that have been modified or derivatized, such as by glycosylation, acetylation, phosphorylation, and the like.

The following abbreviations are used throughout the application:

A = Ala = Alanine	T = Thr = Threonine
V = Val = Valine	C = Cys = Cysteine
L = Leu = Leucine	Y = Tyr = Tyrosine
I = Ile = Isoleucine	N = Asn = Asparagine
P = Pro = Proline	Q = Gln = Glutamine
F = Phe = Phenylalanine	D = Asp = Aspartic Acid
W = Trp = Tryptophan	E = Glu = Glutamic Acid
M = Met = Methionine	K = Lys = Lysine
G = Gly = Glycine	R = Arg = Arginine
S = Ser = Serine	H = His = Histidine

## 15 BRIEF DESCRIPTION OF THE DRAWINGS

The invention can be better understood with reference to the following detailed description together with the appended illustrative drawings in which like elements are numbered the same:

Figure 1. Colostrinin inhibits formation of protein-HNE (i.e., 4-HNE protein) adducts. (A): 4HNE (25 nM); (B): H<sub>2</sub>O<sub>2</sub> (100  $\mu$ M); (C): CLN(10  $\mu$ g/ml) pre-treatment followed by 4HNE (25 nM) exposure; (D): LAH (10  $\mu$ g/ml) pre-treatment followed by 4HNE (25 nM) exposure; (E): HNE-protein adducts detected by Western blot analysis. Lane 1, 25 nM; lane 2, 12.5 nM; lane 3, 6.2 nM of 4HNE alone; lanes 4-6, CLN (10  $\mu$ g/ml) plus 4HNE, 25, 12.5 and 6.2 nM, respectively.

Figure 2. Colostrinin inhibits 4HNE-induced oxidative stress. (A): 1, control; 2, colostrinin (10  $\mu$ g/ml); 3, 4HNE (25 nM); 4, 4HNE (25 nM) plus colostrinin (10  $\mu$ g/ml); 5, lactalbumin hydrolysate (10  $\mu$ g/ml); 6, lactalbumin hydrolysate (10  $\mu$ g/ml) plus 4HNE (25 nM). (B): A representative FACS histogram of fluorescence of cells treated with 4HNE (25 nM) and CLN (10  $\mu$ g/ml) plus 4HNE.

Figure 3. Effect of CLN on 4HNE-induced loss of intracellular GSH levels. Cells were mock-treated or treated with CLN (or LAH) and/or 4HNE for 30 min, and o-phthalaldehyde-mediated fluorescence was determined as described in Materials and Methods. Open columns: 1, mock-treated; 2, CLN (10  $\mu$ g/ml); 3, LAH (10  $\mu$ g/ml); 4, 4HNE (25 nM)-treated. Filled solid columns: 5, CLN (10  $\mu$ g/ml) pre- and 4HNE (25 nM)-treated for 30 min; 6, LAH (10  $\mu$ g/ml) pre- and 4HNE (25 nM)-treated for 30 min.

Figure 4. Inhibition of JNK induction by colostrinin. A change in JNK's phosphotyrosine levels was monitored by SDS-PAGE analysis. Equal amounts of protein (50  $\mu$ g) were fractionated, blotted, and probed with anti-phospho- (Thr-183/Tyr-185)- JNK antibody. Lanes 1 and 2, mock-treated cells; lane 3, 8-(4-chlorophenylthio)-cAMP, an inhibitor of JNK activation; lanes 4 and 5, 25 nM 4HNE; lane 6, CLN (10  $\mu$ g/ml) alone; lane 7, 25 nM 4HNE plus 10  $\mu$ g/ml CLN; lane 8, 25 nM 4HNE plus 1  $\mu$ g/ml CLN; lane 9, 25 nM 4HNE plus 0.1  $\mu$ g/ml CLN.

Figure 5. CLN reduces 4HNE-mediated activation of p53. PC12 cells were pre-treated with CLN or LAH and exposed to 4HNE. Three hours after treatment, cell lysates were analyzed by Western blot analysis. (B) p53; (A) corresponding  $\alpha$ -tubulin. 4HNE (25 nM), CLN (10  $\mu$ g/ml), LAH (10  $\mu$ g/ml).

Figures 6A-6D. (A) Normal morphology of SH-SY5Y control cells. Cells are mostly clumped, non-contact inhibited (right arrow) with a few elongated cells present. Their refractability indicates they are healthy and growing normally. (B) Cells treated with Beta-amyloid (10  $\mu$ g/ml added on day 5) that show its toxicity. Note small round granulated cells with little refractability. (C) Differentiated SH-SY5Y cells following treatment with CLN (0.1  $\mu$ g/ml added on day 5 for 30 minutes). Touching cells are flat, contact inhibited (not clumped), left arrow, and more isolated cells are elongated and neuronal in appearance, right arrow. (D) Cells protected from toxic (apoptotic effect) of Beta-amyloid by treating with CLN (Colostrinin 0.1  $\mu$ g/ml added on day 5 for 30 minutes + Beta-amyloid 10  $\mu$ g/ml added on day 5). Cells are flat (upper arrow) or elongated (lower arrow) showing typical morphology of differentiated cells (see Fig. 6C). (E) Inhibition of toxicity (apoptotic activity) of Beta-amyloid by CLN treatment (Colostrinin 3  $\mu$ g/ml added on day 5 for 30 minutes + Beta-amyloid 10  $\mu$ g/ml added on day 5). Note flattened (bottom arrow) and elongated (upper arrow) cells typical of SH-SY5Y differentiated cells. (F) Toxic (apoptotic) effect of retinoic acid (20  $\mu$ M added on day 1) on SH-SY5Y cells. The observed toxicity resembles cytopathology induced by viruses. Cytoplasmic bridging caused by shrinking of cells once in contact with each other (upper right arrows), shrunken granular cells (lower right arrow) and small round cells (lower left arrows). (G) Inhibition of toxic effect of retinoic acid by treatment of SH-SY5Y with CLN (20  $\mu$ M retinoic acid added on day 1 + 1  $\mu$ g/ml Colostrinin added on day 5 for 30 minutes). Cells are well organized showing typical morphology of differentiated SH-SY5Y cells, elongation (lower arrow) and flattening (upper arrow).

Figure 7. Analysis of apoptosis by flow cytometry. (A) Induction of apoptosis by 4HNE (100 nM). UL, upper left; UR, upper right: necrotic cells; LL, lower left: viable cells; LR, lower right: apoptotic cells. (B) Absence of apoptosis in mock-treated cells. UL, upper left; UR, upper right: necrotic cells; LL, lower left: viable cells; LR, lower right: apoptotic cells.

Figure 8. Inhibition of 4HNE-induced apoptosis by CLN. PC12 cells were treated with CLN (1  $\mu$ g per ml) for 15 min and 4HNE (100 nM) was added. Twenty four hours later, cells were harvested and stained with annexin



V-PE and 7-AAD. 1, solvent alone; 2, 100 nM 4HNE; 3, TROLOX (vitamin E) 4, col (internal control) + 100 nM 4HNE; 5, CLN alone (1 µg per ml); 6, CLN (1 µg per ml) + 100 nM 4HNE.

Figure 9. Inhibition of UV-B-induced apoptosis by CLN. Parallel  
5 cultures of PC12 cells were treated with CLN (1 µg per ml) or col (1 µg per ml) and exposed to LD50 of UV-B. Twenty four hours later, cells were harvested and stained with annexin V-PE and 7-AAD. 1, Mock-treated; 2, UV-B (LD50); 3, col (internal control); 4, col + UV-B (LD50); 5, CLN alone (1 µg per ml); 6, CLN (1 µg per ml) + UV-B (LD50).

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#### DETAILED DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

Colostrinin, a complex of proline-rich polypeptides derived from ovine colostrum, induces mitogenic stimulation and a variety of cytokines in human peripheral blood leukocytes. It also possesses anti-oxidant activity in  
15 pheochromocytoma (PC12) cells.

It has been discovered that colostrinin, at least one constituent peptide thereof, and/or at least one active analog thereof (e.g., a peptide having an N-terminal sequence equivalent to an N-terminal sequence of at least one of the colostrinin constituent peptides) can be used as modulators of intracellular  
20 signaling mechanisms. The signaling molecules discovered to date that are modulated include 4HNE adduct formation, GSH, P53, and JNK.

More specifically, the present invention provides methods that involve:  
1) reduction of the abundance of 4HNE-protein adducts as shown by fluorescent microscopy and Western blot analysis; 2) reduction of intracellular levels of  
25 ROS as shown by a decrease in 2',7'dichlorodihydro-fluorescein-mediated fluorescence; 3) inhibition of 4HNE-mediated glutathione depletion as determined fluorimetrically; and 4) inhibition of 4HNE-induced activation of c-Jun NH2-terminal kinases. Furthermore, the present invention provides methods that down regulate the 4HNE-mediated lipid peroxidation and its  
30 product-induced signaling that otherwise may lead to pathological changes at the cellular and organ level.

Also, the present invention relates to the use of colostrinin, at least one constituent (i.e., component) peptide thereof, at least one active analog thereof

(e.g., peptide having an N-terminal sequence equivalent to an N-terminal sequence of at least one of the colostrinin constituent peptides), and combinations thereof, in the inhibition of apoptosis, specifically, the inhibition is related to the apoptotic (cytotoxic) effect of  $\beta$ -amyloid on SH-SY5Y neuronal  
5 cells and TNF-alpha or the apoptotic effect of retinoic acid.

Such compounds (e.g. modulators such as inhibitors) are referred to herein as "active agents." Significantly, such active agents can be administered alone or in various combinations to a patient (e.g., animals including humans) as a medication or dietary (e.g., nutrient) supplement in a dose sufficient to  
10 produce the desired effect throughout the patient's body, in a specific tissue site, or in a collection of tissues (organs).

Colostrinin is composed of peptides, the aggregate of which has a molecular weight range between about 5.8 to about 26 kiloDaltons (kDa) determined by polyacrylamide gel electrophoresis. It has a greater  
15 concentration of proline than any other amino acid. Ovine colostrinin has been found to have a molecular weight of about 18 kDa and includes three non-covalently linked subunits having a molecular weight of about 6 kDa and has about 22 wt-% proline.

Colostrinin has been found to include a number of peptides ranging from  
20 3 amino acids to 22 amino acids or more. These can be obtained by various known techniques, including isolation and purification involving electrophoresis and synthetic techniques. The specific method of obtaining colostrinin and SEQ ID NO:31 is described in International Publication No. WO 98/14473. Using HPLC and Edelman Degradation, over 30 constituent peptides of  
25 colostrinin have been identified, which can be classified into several groups: (A) those of unknown precursor; (B) those having a  $\beta$ -casein homologue precursor; (C) those having a  $\beta$ -casein precursor; and (D) those having an annexin precursor. These peptides are described in International Patent Publication No. WO 00/75173, published December 14, 2000, and can be  
30 synthesized according to well-known synthetic methods. These peptides (i.e., constituent peptides of colostrinin), which can be derived from colostrinin or chemically synthesized, include: MQPPPLP (SEQ ID NO:1); LQTPQPLLQVMMEPQGD (SEQ ID NO:2); DQPPDVEKPDLPFQVQS

(SEQ ID NO:3); LFFFLPVVNVLP (SEQ ID NO:4); DLEMPVLPVEPFPFV  
 (SEQ ID NO:5); MPQNFYKLPQM (SEQ ID NO:6); VLEMKFPPPPQETVT  
 (SEQ ID NO:7); LKPFPKLKVEVFPFP (SEQ ID NO:8); VVMEV (SEQ ID  
 NO:9); SEQP (SEQ ID NO:10); DKE (SEQ ID NO:11); FPPPK (SEQ ID  
 5 NO:12); DSQPPV (SEQ ID NO:13); DPPPQS (SEQ ID NO:14); SEEMP  
 (SEQ ID NO:15); KYKLQPE (SEQ ID NO:16); VLPPNVG (SEQ ID NO:17);  
 VYPFTGPIPN (SEQ ID NO:18); SLPQNILPL (SEQ ID NO:19);  
 TQTPVVVPPF (SEQ ID NO:20); LQPEIMGVPKVKETMVPK (SEQ ID  
 NO:21); HKEMPFPKYPVEPFTESQ (SEQ ID NO:22);  
 10 SLTLTDVEKLHLPLPLVQ (SEQ ID NO:23); SWMHQPP (SEQ ID NO:24);  
 QPLPPTVMFP (SEQ ID NO:25); PQSVLS (SEQ ID NO:26);  
 LSQPKVLPVPQKAVPQRDMPIQ (SEQ ID NO:27); AFLLYQE (SEQ ID  
 NO:28); RGPFPILV (SEQ ID NO:29); ATFNRYQDDHGEEILKSL (SEQ ID  
 NO:30); VESYVPLFP (SEQ ID NO:31); FLLYQEPVLGPVR (SEQ ID  
 15 NO:32); LNF (SEQ ID NO:33); and MHQPPQPLPPTVMFP (SEQ ID NO:34).

These can be classified as follows: (A) those of unknown precursor include  
 SEQ ID NOs:2, 6, 7, 8, 10, 11, 14, and 33; (B) those having a  $\beta$ -casein  
 homologue precursor include SEQ ID NOs:1, 3, 4, 5, 9, 12, 13, 15, 16, 17, and  
 31; (C) those having a  $\beta$ -casein precursor include SEQ ID NOs:18 (casein  
 20 amino acids 74-83), 19 (casein amino acids 84-92), 20 (casein amino acids 93-  
 102), 21 (casein amino acids 103-120), 22 (casein amino acids 121-138), 23  
 (casein amino acids 139-156), 24 (casein amino acids 157-163), 25 (casein  
 amino acids 164-173), 26 (casein amino acids 174-179), 27 (casein amino acids  
 180-201), 28 (casein amino acids 202-208), 29 (casein amino acids 214-222),  
 25 32 (casein amino acids 203-214), and 34 (casein amino acids 159-173); and (D)  
 those having an annexin precursor include SEQ ID NO:30 (annexin amino acids  
 203-220).

A preferred group of such peptides includes: MQPPPLP (SEQ ID  
 NO:1); LQTPQPLLQVMMEPQGD (SEQ ID NO:2);  
 30 DQPPDVEKPDLPFQVQS (SEQ ID NO:3); LFFFLPVVNVLP (SEQ ID  
 NO:4); DLEMPVLPVEPFPFV (SEQ ID NO:5); MPQNFYKLPQM (SEQ ID  
 NO:6); VLEMKFPPPPQETVT (SEQ ID NO:7); LKPFPKLKVEVFPFP (SEQ  
 ID NO:8); and combinations thereof.

The polypeptides of SEQ ID NOs:1-34 can be in their free acid form or they can be amidated at the C-terminal carboxylate group. The present invention also includes analogs of the polypeptides of SEQ ID NOs:1-34, which includes polypeptides having structural similarity with SEQ ID NOs:1-34.

- 5 These peptides can also form a part of a larger peptide. An "analog" of a polypeptide includes at least a portion of the polypeptide, wherein the portion contains deletions or additions of one or more contiguous or noncontiguous amino acids, or containing one or more amino acid substitutions. An "analog" can thus include additional amino acids at one or both of the termini of the
- 10 polypeptides listed above. Substitutes for an amino acid in the polypeptides of the invention are preferably conservative substitutions, which are selected from other members of the class to which the amino acid belongs. For example, it is well-known in the art of protein biochemistry that an amino acid belonging to a grouping of amino acids having a particular size or characteristic (such as
- 15 charge, hydrophobicity and hydrophilicity) can generally be substituted for another amino acid without substantially altering the structure of a polypeptide.

- For the purposes of this invention, conservative amino acid substitutions are defined to result from exchange of amino acids residues from within one of the following classes of residues: Class I: Ala, Gly, Ser, Thr, and Pro
- 20 (representing small aliphatic side chains and hydroxyl group side chains); Class II: Cys, Ser, Thr and Tyr (representing side chains including an -OH or -SH group); Class III: Glu, Asp, Asn and Gln (carboxyl group containing side chains); Class IV: His, Arg and Lys (representing basic side chains); Class V: Ile, Val, Leu, Phe and Met (representing hydrophobic side chains); and Class
- 25 VI: Phe, Trp, Tyr and His (representing aromatic side chains). The classes also include related amino acids such as 3Hyp and 4Hyp in Class I; homocysteine in Class II; 2-aminoadipic acid, 2-aminopimelic acid, ( $\gamma$ -carboxyglutamic acid,  $\beta$ -carboxyaspartic acid, and the corresponding amino acid amides in Class III; ornithine, homoarginine, N-methyl lysine, dimethyl lysine, trimethyl lysine,
- 30 2,3-diaminopropionic acid, 2,4-diaminobutyric acid, homoarginine, sarcosine and hydroxylysine in Class IV; substituted phenylalanines, norleucine, norvaline, 2-aminooctanoic acid, 2-aminoheptanoic acid, statine and  $\beta$ -valine in Class V; and naphthylalanines, substituted phenylalanines,

tetrahydroisoquinoline-3-carboxylic acid, and halogenated tyrosines in Class VI.

Preferably, the active analogs of colostrinin and its constituent peptides include polypeptides having a relatively large number of proline residues.

- 5 Because proline is not a common amino acid, a "large number" preferably means that a polypeptide includes at least about 15% proline (by number), and more preferably at least about 20% proline (by number). Most preferably, active analogs include more proline residues than any other amino acid.

- As stated above, active analogs of colostrinin and its constituent
- 10 peptides include polypeptides having structural similarity. Structural similarity is generally determined by aligning the residues of the two amino acid sequences to optimize the number of identical amino acids along the lengths of their sequences; gaps in either or both sequences are permitted in making the alignment in order to optimize the number of identical amino acids, although
- 15 the amino acids in each sequence must nonetheless remain in their proper order. Preferably, two amino acid sequences are compared using the Blastp program, version 2.0.9, of the BLAST 2 search algorithm, available at <http://www.ncbi.nlm.nih.gov/gorf/bl2.html>. Preferably, the default values for all BLAST 2 search parameters are used, including matrix = BLOSUM62; open
- 20 gap penalty = 11, extension gap penalty = 1, gap x\_dropoff = 50, expect = 10, wordsize = 3, and filter on. In the comparison of two amino acid sequences using the BLAST search algorithm, structural similarity is referred to as "identity." Preferably, an active analog of colostrinin or its constituent peptides has a structural similarity to colostrinin or one or more of its constituent
- 25 peptides (preferably, one of SEQ ID NOs:1-34) of at least about 70% identity, more preferably, at least about 80% identity, and most preferably, at least about 90% identity.

- Colostrinin or any combination of its peptide components or active analogs thereof can be derived (preferably, isolated and purified) naturally such
- 30 as by extraction from colostrum or can be synthetically constructed using known peptide polymerization techniques. For example, the peptides of the invention may be synthesized by the solid phase method using standard methods based on either t-butyloxycarbonyl (BOC) or 9-fluorenylmethoxy-

carbonyl (Fmoc) protecting groups. This methodology is described by G.B. Fields et al. in *Synthetic Peptides: A User's Guide*, W.M. Freeman & Company, New York, NY, pp. 77-183 (1992). Moreover, gene sequence encoding the colostrinin peptides or analogs thereof can be constructed by  
5 known techniques such as expression vectors or plasmids and transfected into suitable microorganisms that will express the DNA sequences thus preparing the peptide for later extraction from the medium in which the microorganism are grown. For example, U.S. Patent No. 5,595,887 describes methods of forming a variety of relatively small peptides through expression of a  
10 recombinant gene construct coding for a fusion protein which includes a binding protein and one or more copies of the desired target peptide. After expression, the fusion protein is isolated and cleaved using chemical and/or enzymatic methods to produce the desired target peptide.

The peptides used in the methods of the present invention may be  
15 employed in a monovalent state (i.e., free peptide or a single peptide fragment coupled to a carrier molecule). The peptides may also be employed as conjugates having more than one (same or different) peptide fragment bound to a single carrier molecule. The carrier may be a biological carrier molecule (e.g., a glycosaminoglycan, a proteoglycan, albumin or the like) or a synthetic  
20 polymer (e.g., a polyalkyleneglycol or a synthetic chromatography support). Typically, ovalbumin, human serum albumin, other proteins, polyethylene glycol, or the like are employed as the carrier. Such modifications may increase the apparent affinity and/or change the stability of a peptide. The number of peptide fragments associated with or bound to each carrier can vary, but from  
25 about 4 to 8 peptides per carrier molecule are typically obtained under standard coupling conditions.

For instance, peptide/carrier molecule conjugates may be prepared by treating a mixture of peptides and carrier molecules with a coupling agent, such as a carbodiimide. The coupling agent may activate a carboxyl group on either  
30 the peptide or the carrier molecule so that the carboxyl group can react with a nucleophile (e.g., an amino or hydroxyl group) on the other member of the peptide/carrier molecule, resulting in the covalent linkage of the peptide and the carrier molecule. For example, conjugates of a peptide coupled to ovalbumin

may be prepared by dissolving equal amounts of lyophilized peptide and ovalbumin in a small volume of water. In a second tube, 1-ethyl-3-(3-dimethylamino-propyl)-carbodiimide hydrochloride (EDC; ten times the amount of peptide) is dissolved in a small amount of water. The EDC solution was  
5 added to the peptide/ovalbumin mixture and allowed to react for a number of hours. The mixture may then be dialyzed (e.g., into phosphate buffered saline) to obtain a purified solution of peptide/ovalbumin conjugate. Peptide/carrier molecule conjugates prepared by this method typically contain about 4 to 5 peptides per ovalbumin molecule.

10 The present invention also provides a composition that includes one or more active agents (i.e., colostrinin, at least one constituent peptide thereof, or active analog thereof) of the invention and one or more carriers, preferably a pharmaceutically acceptable carrier. The methods of the invention include administering to, or applying to the skin of, a patient, preferably a mammal, and  
15 more preferably a human, a composition of the invention in an amount effective to produce the desired effect. The active agents of the present invention are formulated for enteral administration (oral, rectal, *etc.*) or parenteral administration (injection, internal pump, *etc.*). The administration can be via direct injection into tissue, interarterial injection, intravenous injection, or other  
20 internal administration procedures, such as through the use of an implanted pump, or via contacting the composition with a mucus membrane in a carrier designed to facilitate transmission of the composition across the mucus membrane such as a suppository, eye drops, inhaler, or other similar administration method or via oral administration in the form of a syrup, a liquid,  
25 a pill, capsule, gel coated tablet, or other similar oral administration method. The active agents can be incorporated into an adhesive plaster, a patch, a gum, and the like, or it can be encapsulated or incorporated into a bio-erodible matrix for controlled release.

The carriers for internal administration can be any carriers commonly  
30 used to facilitate the internal administration of compositions such as plasma, sterile saline solution, IV solutions or the like. Carriers for administration through mucus membranes can be any well-known in the art. Carriers for administration oral can be any carrier well-known in the art.

The formulations may be conveniently presented in unit dosage form and may be prepared by any of the methods well known in the art of pharmacy. All methods include the step of bringing the active agent into association with a carrier which constitutes one or more accessory ingredients. In general, the  
5 formulations are prepared by uniformly and intimately bringing the active agent into association with a liquid carrier, a finely divided solid carrier, or both, and then, if necessary, shaping the product into the desired formulations.

Formulations suitable for parenteral administration conveniently include a sterile aqueous preparation of the active agent, or dispersions of sterile  
10 powders of the active agent, which are preferably isotonic with the blood of the recipient. Isotonic agents that can be included in the liquid preparation include sugars, buffers, and sodium chloride. Solutions of the active agent can be prepared in water, optionally mixed with a nontoxic surfactant. Dispersions of the active agent can be prepared in water, ethanol, a polyol (such as glycerol,  
15 propylene glycol, liquid polyethylene glycols, and the like), vegetable oils, glycerol esters, and mixtures thereof. The ultimate dosage form is sterile, fluid, and stable under the conditions of manufacture and storage. The necessary fluidity can be achieved, for example, by using liposomes, by employing the appropriate particle size in the case of dispersions, or by using surfactants.  
20 Sterilization of a liquid preparation can be achieved by any convenient method that preserves the bioactivity of the active agent, preferably by filter sterilization. Preferred methods for preparing powders include vacuum drying and freeze drying of the sterile injectible solutions. Subsequent microbial contamination can be prevented using various antimicrobial agents, for  
25 example, antibacterial, antiviral and antifungal agents including parabens, chlorobutanol, phenol, sorbic acid, thimerosal, and the like. Absorption of the active agents over a prolonged period can be achieved by including agents for delaying, for example, aluminum monostearate and gelatin.

Formulations of the present invention suitable for oral administration  
30 may be presented as discrete units such as tablets, troches, capsules, lozenges, wafers, or cachets, each containing a predetermined amount of the active agent as a powder or granules, as liposomes containing the active agent, or as a solution or suspension in an aqueous liquor or non-aqueous liquid such as a



syrup, an elixir, an emulsion, or a draught. The amount of active agent is such that the dosage level will be effective to produce the desired result in the subject.

Nasal spray formulations include purified aqueous solutions of the active agent with preservative agents and isotonic agents. Such formulations are preferably adjusted to a pH and isotonic state compatible with the nasal mucous membranes. Formulations for rectal or vaginal administration may be presented as a suppository with a suitable carrier such as cocoa butter, or hydrogenated fats or hydrogenated fatty carboxylic acids. Ophthalmic formulations are prepared by a similar method to the nasal spray, except that the pH and isotonic factors are preferably adjusted to match that of the eye. Topical formulations include the active agent dissolved or suspended in one or more media such as mineral oil, DMSO, polyhydroxy alcohols, or other bases used for topical pharmaceutical formulations.

Useful dosages of the active agents can be determined by comparing their *in vitro* activity and the *in vivo* activity in animal models. Methods for extrapolation of effective dosages in mice, and other animals, to humans are known in the art; for example, see U.S. Patent No. 4,938,949.

The tablets, troches, pills, capsules, and the like may also contain one or more of the following: a binder such as gum tragacanth, acacia, corn starch or gelatin; an excipient such as dicalcium phosphate; a disintegrating agent such as corn starch, potato starch, alginic acid and the like; a lubricant such as magnesium stearate; a sweetening agent such as sucrose, fructose, lactose or aspartame; and a natural or artificial flavoring agent. When the unit dosage form is a capsule, it may further contain a liquid carrier, such as a vegetable oil or a polyethylene glycol. Various other materials may be present as coatings or to otherwise modify the physical form of the solid unit dosage form. For instance, tablets, pills, or capsules may be coated with gelatin, wax, shellac, or sugar and the like. A syrup or elixir may contain one or more of a sweetening agent, a preservative such as methyl- or propylparaben, an agent to retard crystallization of the sugar, an agent to increase the solubility of any other ingredient, such as a polyhydric alcohol, for example glycerol or sorbitol, a dye, and flavoring agent. The material used in preparing any unit dosage form is

substantially nontoxic in the amounts employed. The active agent may be incorporated into sustained-release preparations and devices.

### EXAMPLES

5           The invention will be further described by reference to the following detailed examples. The examples are meant to provide illustration and should not be construed as limiting the scope of the present invention.

#### Examples 1-5: Materials and Methods

10

Cell cultures: Pheochromocytoma (PC12) cells were provided by Dr. Regino Perez-Polo (University of Texas Medical Branch, Department of Human Biological Chemistry and Genetics) and maintained in EMEM supplemented with 10% fetal bovine serum, penicillin (100 IU/ml) and streptomycin (100 micrograms per milliliter ( $\mu\text{g/ml}$ )). Exponentially growing populations of PC12 cells were sub-cultured and used for all experiments.

Western blot analysis: PC12 cells were plated at  $7 \times 10^6$  cells/T75 flask. After exposure to 4HNE, colostrinin or their combination, cells were collected and lysed in 50 millimolar (mM) Tris, 5 mM EDTA, 150 mM NaCl, 0.5% NP-40, 10% glycerol and protease inhibitor cocktail (supplemented with 1 mM  $\text{Na}_3\text{VO}_4$ , 2 mM EDTA, 1 mM phenylmethylsulfonyl fluoride). Lysates were centrifuged at 14,000g for 10 minutes (min) ( $4^\circ\text{C}$ ) and 40  $\mu\text{g}$  of protein was fractionated on a 10% SDS-polyacrylamide gel and transferred to protein-optimized membranes (Amersham, Inc.). p53 was detected using specific antibody (DO1; Santa Cruz Biotechnology, Inc.) at a dilution of 1:300. Adducts were detected using an antibody to HNE-protein adducts (Pharmingen, Inc.) at a dilution of 1:500. The anti-phospho-JNK antibody (New England Biolabs, Inc., Beverly, MA) was raised against a synthetic phosphopeptide (SFMMT\*PY\*VVTRYR) corresponding to residues 179-193 of JNK. For visualization of primary antibody binding, all blots were incubated with horseradish peroxidase-conjugated secondary antibody (Amersham, Inc.) at a dilution of 1:2000, followed by chemiluminescence detection (Amersham, Inc.) and autoradiography.

30

Immunocytochemistry: PC12 cells grown on cover-slips were fixed overnight in PBS containing 2% paraformaldehyde at 4°C. Cells were permeabilized by 0.3% Triton X-100, washed in PBS then incubated with  
5 primary antibody in PBS containing 0.05% Tween 20 (PBS-T). After washing 3 times in PBS-T, FITC-labeled anti-rabbit IgG (Santa Cruz Biotechnology Inc.) was added. Cells were washed (5 times, for 10 min) with PBS-T and mounted on microscope slides in anti-fade solution (Dako, Inc.). Images of cellular immunofluorescence were acquired using a NIKON Eclipse TE300  
10 scanning microscope.

Measurement of glutathione (GSH): In brief, PC12 cells were mock- or pre-treated with CLN or lactalbumin hydrolysate (LAH), both in 10 µg/ml concentration and then exposed to 4HNE (25 nM). PBS-washed (twice) cells  
15 were then extracted with 25% (w/v) metaphosphoric acid solution containing 5 mM EDTA. After ultracentrifugation (105,000g for 30 min), 100 µl of 100 mM phosphate solution (pH 8.0) containing 5 mM EDTA and 10 µl of *o*-phthalaldehyde OPA(OPD; Molecular Probes, Inc.) was added to the supernatant, and the fluorescence intensity at 420 nm determined with  
20 excitation set at 350 nm (A.P. Senft et al., *Anal Biochem.*, 280:80-86 (2000)).

Flow cytometry: Relative changes in ROS levels were determined as described previously (I. Boldogh et al., *Psychogeriatr Ann*, 4:57-65 (2001)). Briefly, PC12 cells at 70% confluence were trypsinized and washed with  
25 EMEM containing 10% FBS. Cells were re-suspended in EMEM (plus 5 % FBS) and loaded with 2',7'dichlorodihydro-fluorescein diacetate (H<sub>2</sub>DCF-DA; Molecular Probes Inc.) (5 mM final concentration) for 15 min, at 37°C then washed in growth medium. Following centrifugation, the cell pellets were re-suspended in EMEM containing 10 mM HEPES (pH: 7.4). DCF-mediated  
30 fluorescence of treated and mock-treated cells was determined by flow cytometry (Becton Dickinson FACS Scan) using 488 nm and 525 nm excitation and emission settings, respectively. Each data point represents the mean fluorescence for 12,000 cells.

Reagents: Colostrinin (CLN) was purified from ovine colostrum, collected during the first milking (6-12 hours (hr) after lambing), according to the method developed by Janusz et al. (M. Janusz et al., *FEBS Lett.*, 49:276-279 (1974)). A high content of proline (>23 %) and lack of detectable alanine, arginine, histidine, tryptophan, methionine, and cysteine were confirmed by amino acid analysis of CLN. A peptide control was prepared by trypsin (Sigma-Aldrich) digestion of purified lactalbumin from bovine milk (Sigma). The trypsin was then inhibited by treatment with inhibitor (Invitrogen). SDS-PAGE confirmed digestion of lactalbumin into peptides, and the hydrolysate was referred to as LAH.

Statistical analysis: The experiments were repeated at least three times and statistically analyzed for significant differences using ANOVA procedures and Student's t-tests. Data are expressed as means  $\pm$  S.E.

#### Examples 1-5: Results

Example 1: Colostrinin reduces 4HNE-protein adduct formation in PC 12 cells.

Fluorescent microscopy and Western blot analysis was undertaken to investigate the extent of 4HNE protein-adduct formation in cultures of PC12 cells in the presence of CLN. Cells were pretreated with CLN or LAH in the presence or absence of 4HNE and then analyzed for the formation of 4HNE-protein adducts. The results in Fig. 1A and 1B show that addition of 4HNE (25 nanomolar (nM)) or H<sub>2</sub>O<sub>2</sub> (100 micromolar ( $\mu$ M)) resulted in a bright fluorescence, localized to the cytoplasmic region of PC12 cells due to binding of antibody to 4HNE-protein adducts. When cells were pre-treated with CLN (10 microgram per milliliter ( $\mu$ g/ml)) for 15 minutes (min) and exposed to 4HNE (25 nM) for 15 min (concentrations of CLN and time required for effect were determined in preliminary studies), the results indicated that CLN reduced fluorescence intensity (Fig. 1C) to background level (data not shown). In the controls, pre-treatment of cells with an N-acetyl-L-cysteine (10 mM)

and trolox (1 mM; a water-soluble -tocopherol) combination significantly reduced 4HNE-mediated intracellular fluorescence.

To determine whether the inhibitory effect of CLN was specific, CLN was substituted with digested lactalbumin hydrolysate (LAH, Materials and Methods), which contains a variety of peptides as does CLN. Results in Fig. 1D show bright fluorescence in cells treated with LAH (10 µg/ml) plus 4HNE (25 nM), which is similar to that seen with 4HNE alone (Fig. 1A). These data indicate that CLN inhibits adduct formation, and the effect is specific and could be the result of a not yet-determined interaction between its constituent peptides and cellular component(s).

To confirm the results generated by immunochemistry, Western blot analysis was used to investigate changes in 4HNE-protein adduct levels in cells treated with 4HNE alone or CLN plus 4HNE. Figure 1E (lanes 1, 2, and 3) shows that 4HNE alone induced a significant increase in levels of 4HNE-protein adducts, with molecular weights ranging from 200 kD to 15 kD. CLN (10 µg/ml) abolished adduct formation, as shown in Fig. 1E lanes 4 to 6. Overall these data indicate that CLN can block the formation of 4HNE-adducts. From these results, it is believed that the inhibition of 4HNE-protein adduct formation by CLN is multi-factorial and may involve mechanisms such as direct scavenging (binding) of 4HNE via cysteine, lysine, or histidine residues in CLN, or by inhibition of 4HNE's entry onto cells.

To determine whether CLN can protect mitochondria and abolish the oxidative stress induced by 4HNE, PC12 cells were treated with CLN (with LAH as control) and/or 4HNE and the changes in ROS levels were monitored by the redox-sensitive 2',7'-dichlorofluorescein diacetate (H<sub>2</sub>DCF-DA) probe (I. Boldogh et al., *Psychogeriatr Ann.*, 4:57-65(2001); LeBel, *Chem. Res. Toxicol.*, 5:227-231 (1992)). Mock- as well as CLN (or LAH) pre-treated cells were loaded with H<sub>2</sub>DCF-DA then exposed to 4HNE for 15 min. Changes in fluorescence intensities mediated by the oxidized probe, DCF, were determined by flow cytometry. Prior to data collection, propidium iodide was added to the samples for sorting out nonviable cells.

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- (71) Applicant: **BOARD OF REGENTS THE UNIVERSITY OF TEXAS SYSTEM** [US/US]; 201 West 7th Street, Austin, TX 78701 (US).
- (71) Applicants and
- (72) Inventors: **BOLDOGH, Istvan** [HU/US]; 302 Holiday Drive, #17, Galveston, TX 77550-5656 (US). **STANTON, John, G.** [US/US]; 3026 112th Street N., Texas City, TX 77591-9136 (US). **GEORGIADES, Jerzy, A.** [US/US]; 9615 Bayou Brook, Houston, TX 77063 (US). **HUGHES, Thomas, K., Jr.** [US/US]; Route 1, Box 225 B-1, Galveston, TX 77554 (US). **KRUZEL, Marian** [US/US]; 13627 La Concha Lane, Houston, TX 77083 (US).
- (74) Agent: **MUETING, Ann, M.**; Mueting, Raasch & Gebhardt, P.A., P.O. Box 581415, Minneapolis, MN 55458-1415 (US).
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(54) Title: COLOSTRININ AND PEPTIDES THEREOF AS MODULATORS OF INTRACELLULAR SIGNALING MOLECULES AND INHIBITORS OF APOPTOSIS

(57) Abstract: The present invention provides methods that utilize compositions containing colostrinin, a constituent peptide thereof, an active analog thereof, and combination thereof, as modulators of intracellular signaling molecules and inhibitors of apoptosis, for example.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/33423

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>												
IPC(7) : C07K 14/47, 1/14, 7/06; A61K 35/20, 38/08												
US CL : 514/2, 12, 13, 14, 15, 16, 17, 18; 530/300, 324, 326, 327, 328, 329, 334, 350; 424/535; 424/9.1												
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<b>B. FIELDS SEARCHED</b>												
Minimum documentation searched (classification system followed by classification symbols) U.S. : 514/2, 12, 13, 14, 15, 16, 17, 18; 530/300, 324, 326, 327, 328, 329, 334, 350; 424/535; 424/9.1												
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched												
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) Please See Continuation Sheet												
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>												
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.										
A	WO 98/14473 A1 (LUDWICK HIRSZFELD INSTITUTE OF IMMUNOLOGY AND EXPERIMENTAL THERAPY POLISH ACADEMY OF SCIENCES) 9 April 1998 (09.04.98), see pages 1-21.	1-33										
A	WO 02/13850 A1 (THE UNIVERSITY OF TEXAS SYSTEM) 21 February 2002 (21.02.02), see whole document.	1-33										
A, P	US 6,500,798 B1 (STANTON et al) 31 December 2002 (31.12.2002), see whole document.	1-33										
A	JURGENS, G. et al., Modification of Human Low-Density Lipoprotein by the Lipid Peroxidation Product 4-Hydroxynonal. Biochem. Biophys. Acta, January 1986, Vol. 875, pages 103-114, see pages 106-113.	1-9										
X, P	BOLDOGH, I. et al., Modulation of 4HNE-Mediated Signaling by the Proline-Rich Peptides from Ovine Colostrum. J. Mol. Neuroscience, April 2003, Vol. 20, No. 2, pages 125-134, see whole document.	1-9										
X, P												
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.												
* Special categories of cited documents: <table border="0"> <tr> <td>"A" document defining the general state of the art which is not considered to be of particular relevance</td> <td>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</td> </tr> <tr> <td>"B" earlier application or patent published on or after the international filing date</td> <td>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</td> </tr> <tr> <td>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</td> <td>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</td> </tr> <tr> <td>"O" document referring to an oral disclosure, use, exhibition or other means</td> <td>"&amp;" document member of the same patent family</td> </tr> <tr> <td>"P" document published prior to the international filing date but later than the priority date claimed</td> <td></td> </tr> </table>			"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention	"B" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone	"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art	"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family	"P" document published prior to the international filing date but later than the priority date claimed	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention											
"B" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone											
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art											
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# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/33423

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claim Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claim Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3. ☐ Claim Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:  
Please See Continuation Sheet

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐  
☐

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.



# INTERNATIONAL SEARCH REPORT

PCT/US03/33423

## Continuation of Item 4 of the first sheet:

COLOSTRININ AND PEPTIDES THEREOF AS MODULATORS OF INTRACELLULAR SIGNALING AND INHIBITORS OF APOPTOSIS

### BOX II. OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING

Group 1, claims 1-9, drawn to a method for modulating an intracellular signaling molecule or down regulating 4HNE-mediated lipid peroxidation in a cell, comprising contacting the cell with a modulator selected from the group of colostrinin, a constituent peptide thereof, an active analog thereof, and combinations thereof, wherein the constituent peptide of colostrinin is SEQ ID NO:1-33 or 34.

Group 2, claims 10-33, drawn to a method for inhibiting apoptosis, protecting against DNA damage, reducing the toxic effect of beta-amyloid, or reducing the toxic effect of retinoic acid in a cell, comprising contacting the cell with a modulator selected from the group of colostrinin, a constituent peptide thereof, an active analog thereof, and combinations thereof, wherein the constituent peptide of colostrinin is SEQ ID NO:1-33 or 34.

The inventions listed as Groups 1-2 do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: The special technical feature of Group 1 is the specific method for modulating an intracellular signaling molecule or down regulating 4HNE-mediated lipid peroxidation in a cell, comprising contacting the cell with a modulator selected from the group of colostrinin, a constituent peptide thereof, an active analog thereof, and combinations thereof claimed therein. In contrast, the special technical feature of Group 2 is the particular method for inhibiting apoptosis, protecting against DNA damage, reducing the toxic effect of beta-amyloid, or reducing the toxic effect of retinoic acid in a cell, comprising contacting the cell with a modulator selected from the group of colostrinin, a constituent peptide thereof, an active analog thereof, and combinations thereof claimed therein. Since the special technical feature of Group 1 is not present in the claims of Group 2, and the special technical feature of Group 2 is not present in the claims of Group 1. Thus, unity of invention is lacking.

### Continuation of B. FIELDS SEARCHED Item 3:

EAST search on USPAT, DERWENT, JPO, EPO, USPGPUB; STN search on MEDLINE, CAPLUS, BIOSIS, EMBASE, SCISEARCH, AGRICOLA. Search term used: 4HNE, 4-hydroxynonenal, protein adduct, c-jun kinase, glutathione, p53, lipid peroxidation, apoptosis, inhibit?, DNA damage, beta-amyloid, retinoic acid, clostrinin. Amino acid sequence search: SEQ ID NO:1-5.